



SGM 6938.1  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Brian Ward et al.  
Serial No. 10/002,292  
Filed November 15, 2001  
Confirmation No. 2146  
For RECOMBINANT DNA PROCESS USING DNTP MIXTURE CONTAINING  
MODIFIED NUCLEOTIDES

March 25, 2002

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

In response to the "Notice to File Missing Parts of  
Nonprovisional Application - Filing Date Granted," dated  
\* January 25, 2002, enclosed is applicants signed Declaration and  
\* Power of Attorney in the above-referenced application. A copy of  
the Sequence Listing in computer readable form and statement of  
\* content under 37 C.F.R. 1.621(f) are also enclosed. A copy of  
the Notice is returned herewith.

\* Also enclosed is a check in payment of the surcharge.

Respectfully submitted,

Debra D. Nye, Reg. No. 48,262  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

DDN/SXM

Express Mail Label No. EL 937978355 US  
Box Missing Parts

FEE TRANSMITTAL

Application Number 19-102,292  
Filing Date November 18, 2001  
Publication No. 2146  
Inventor's Brian Ward et al.  
Group Art Unit  
Examiner Name  
Attorney Pocket Number SBX 6938.1



METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☐ BASIC FILING FEE Subtotal (1) \$ \_\_\_\_\_  
(Type: \_\_\_\_\_)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_  
Total Claims \_\_\_\_\_  
Independent Claims \_\_\_\_\_  
Multiple Dependent Claims \_\_\_\_\_
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 130.00
- ☒ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

TOTAL AMOUNT OF PAYMENT \$ 130.00

Debra D. Nye, Reg. No. 48,260

Date

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SGM 6938.1  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Brian Ward et al.

Serial No. 10 002,292

Filed November 15, 2001

For: RECOMBINANT DNA PROCESS USING DNTTP MIXTURE CONTAINING  
MODIFIED NUCLEOTIDES

March 25, 2002

**STATEMENT UNDER 37 C.F.R. 1.821(f)**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS.

SIR:

In accordance with 37 C.F.R. 1.821(f), I hereby state that the information recorded in computer readable form is identical to the written sequence listing submitted in support of the present application.

Respectfully submitted,

Kelley S. Bastunas  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

KSB sxm

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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20503  
 WWW.USPTO.GOV

Application Number	Filing Date	Examiner	Art Unit
10 002,292	11/15/2001	Brian Ward	SGM 6938.1

CONFIRMATION NO. 2146

 000321  
 SENNIGER POWERS LEAVITT AND ROEDEL  
 ONE METROPOLITAN SQUARE  
 16TH FLOOR  
 ST LOUIS, MO 63102

## FORMALITIES LETTER



\*OC000000007360499\*

Date Mailed: 01/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division 7-3-3-8-12-2

PART 2 - COPY TO BE RETURNED WITH RESPONSE